

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: K

Kazuyoshi INOUE et al.

Serial No.: 10/591,529

Group Art Unit: Unknown

Filed: September 1, 2006

Examiner: Unknown

For: SEMI-TRANSMISSIVE/SEMI-REFLECTIVE ELECTRODE SUBSTRATE, METHOD FOR MANUFACTURING SAME, AND LIQUID CRYSTAL DISPLAY USING SUCH SEMI-TRANSMISSIVE/SEMI-REFLECTIVE ELECTRODE SUBSTRATE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This information disclosure statement is made in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98 as follows:

Timing and Fees

Under 37 C.F.R. § 1.97(b), no fee or statement is required for filing this information disclosure statement is filed:						
	within three months of the filing date of a national application other than a CPA under § 1.53(d);					
\boxtimes	within three months of the actual filing date of the national phase of a PCT application; OR					
	before the mailing of a first substantive office action (including after filing of an RCE).					
	37 C.F.R. § 1.97(c), this information disclosure statement is filed after the periods ed in 37 C.F.R. § 1.97(b), but before the mailing date of:					
	a final rejection under 37 C.F.R. 1.113; termination of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2); OR a notice of allowance under 37 C.F.R. § 1.311; and					

		is acco	mpanied by:
			the statement as specified in 37 C.F.R. § 1.97(e) set out below; OR
			a check covering the fee of \$180.00 under 37 C.F.R. § 1.17(p).
			R. § 1.97(d), this information disclosure statement is filed after the mailing lowing actions which have not been withdrawn:
		a final	action under 37 C.F.R. § 1.113;
		termina	ation of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2);
		OR a n	notice of allowance under 37 C.F.R. § 1.311;
	AND i	s filed o	on or before payment of the issue fee; AND is accompanied by:
			tement as specified in 37 C.F.R. § 1.97(e) as set forth below, and the fee of 0 under 37 C.F.R. § 1.17(p).
Statem	nents Ur	nder 37	C.F.R. 1.97(e)
			Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application having a mailing date not more than three months prior to the filing date of this information disclosure statement; or
			No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of the information disclosure statement.
Cited 1	Materia	<u>ls</u>	
		ancesto	of materials listed but not attached were cited in benefit (35 U.S.C. § 120) or application Serial No, on Form 892 by the Examiner and/or Form by the applicant; see 37 C.F.R. § 1.98(d).
		-	of materials listed but not attached were cited in an international search dated
	\boxtimes	Not rec	quired by 37 CFR § 1.98.
	\boxtimes	Copies	of the materials listed are attached (except for the foregoing).

Non-E	Non-English Language References						
		An English-language search report or equivalent paper from a foreign patent office is provided indicating the relevance of the cited reference(s).					
		A foreign-language search report from a foreign patent office is provided, and pertinent parts are translated substantively below:					
		 X = document of particular relevance when it is taken alone Y = document of particular relevance when it is combined with another such document 					
		A = document defining the general state of the art O = non-written disclosure					
		 P = intercalated document T = document cited to understand the theory or principle underlying the invention 					
		E = patent document which has the benefit of a date earlier than the filing date and which was published only on or after this filing date					
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		 L = cited for another reason & = publication of member of same patent family 					
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	A check for \$ covering the fee identified above is attached.						
	Please	charge to Deposit Account No. 13-3402 \$ for the fee identified above.					

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Respectfully submitted,

Anthony J. Zelano, Reg No. 27,969 Attorney/Agent for Applicants

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Attorney Docket No.: ITO-0002

Date: October 16, 2006

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PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO Complete if Known **Application Number** 10/591,529 INFORMATION DISCLOSURE September 1, 2006 Filing Date STATEMENT BY APPLICANT First Named Inventor Kazuyoshi INOUE et al. Group Art Unit Unknown (use as many sheets as necessary) **Examiner Name** Unknown ITO-0002 Sheet Attorney Docket Number

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.1	U.S. Patent Docum	Kind Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	
	A1	20010008437	A1	Koichi FUJIMORI et al.	07-19-2001	
	A2	20040109111	A1	Masumitsu INO	06-10-2004	
	А3	20040169799	A1	Manabu HANAKAWA et al.	09-02-2004	
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		1 1110 20111				

				FOREIG	N PATENT DOCUMENTS			
Examiner			Foreign Patent Docum	ent Kind Code ⁵	Name of Patentee or Applicant of	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	6
Initials*	Cite No.1	Office ³	Number⁴	(if known)	Cited Document	MM-DD-YYYY	Figures Appear	T⁴
	B1	JP	2001-272674		SHARP CORP.	10-05-2001		A1
	B2	wo	03/054621	A1	SONY CORP.	07-03-2003		A2
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	B9	JP	2001-305529	A2	SHARP CORP.	10-31-2001		

	Examiner Signature	/Sung Pak/	Date Considered	08/16/2008
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. Number refers to English language corresponding family member.